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See for  
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Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)	Docket No. 04558/053001
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In Re Application Of: Shoji NAKAMURA et al.



Serial No. 09/911,855	Filing Date July 23, 2001	Examiner	Group Art Unit 1772
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Invention: MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING  
THE SAME

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

August 31, 2001

Date

Enclosed herewith for filing are the following:

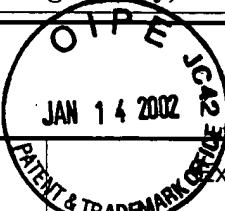
- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Information Disclosure Statement  
Transmittal of Priority Documents Under 35 U.S.C. 119

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
04558/053001

In Re Application Of: Shoji NAKAMURA et al.



Serial No.  
09/911,855

Filing Date  
July 23, 2001

Examiner

Group Art Unit  
1772

Invention: **MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING THE SAME**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

Completion of application fees as calculated below:

Utility application filing fee \$740.00

Design application filing fee \_\_\_\_\_

Total number of independent claims = 2 \_\_\_\_\_

Total number of claims = 20 \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_

Date

until: \_\_\_\_\_

Date

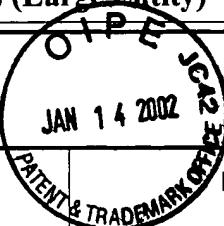
Total time extension fees \_\_\_\_\_

Total fees due \$870.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**04558/053001**

In Re Application Of: Shoji NAKAMURA et al.



Serial No.  
**09/911,855**

Filing Date  
**July 23, 2001**

Examiner

Group Art Unit  
**1772**

**Invention: MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING  
THE SAME**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$870.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.

*Richard A. Osha reg no 39,182 for* Dated: **10/29/01**  
*Signature*

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

I certify that this document and fee is being deposited on **10/29/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Tawana L. Garcia*

**Signature of Person Mailing Correspondence**

**Tawana L. Garcia**

**Typed or Printed Name of Person Mailing Correspondence**

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

X3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,855	07/23/2001	Shoji Nakamura	04558/053001

CONFIRMATION NO. 3890

## FORMALITIES LETTER



\*OC00000006503021\*

ROSENTHAL & OSHA L.L.P.  
Suite 4550  
700 Louisiana  
Houston, TX 77002

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

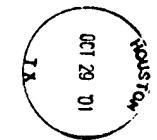
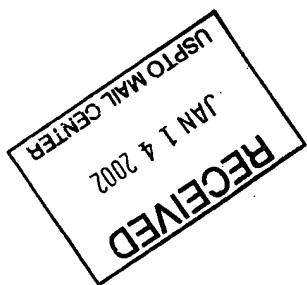
Initial Patent Examination Division (703) 308-1202

01/18/2002 MBIZUNES 00000082 09911855 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101                    740.00 OP  
02 FC:105                    130.00 OP

Rosenthal & Sha LLP

700 Louisiana Street, 4550  
Houston, TX 77002



04558/053001

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West. Commissioner for Parents

Washington, DC 20231